

Dichotomous and Continuous Outcomes

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Source: Jo McKenzie & Miranda Cumpston



Types of outcome data

- Dichotomous: Two categories (event or no event)
 - Alive or dead
 - Healed or not healed
 - Pregnant or not pregnant
- Continuous: measured on a scale (range of values)
 - Height, weight
 - Other numerical scales commonly assessed as continuous such as quality of life, pain, depression



Part 1: Dichotomous outcomes



See Chapters 6 & 10 of the Handbook



What are dichotomous outcomes?

- **Definition:** when the outcome for every participant is one of two possibilities or events
- We express the **chance** of the event using **Risk** or **Odds**
- Although risk and odds are both used for expressing the chance of being in one of two groups, they are calculated differently



Calculating Risk

- 24 people drank coffee
 6 developed a headache
- Risk of a headache
 - = 6 people with headache / 24 people who could have had one
 - $= 6/24 = \frac{1}{4} = 0.25 = 25\%$

Risk = <u>no. participants with event of interest</u> total no. participants



Calculating Odds

- 24 people drank coffee
 6 developed a headache
- Odds of a headache
 - = 6 people with headache/18 without headache
 - = 6/18 = 1/3 = 0.33 = 1:3 (not usually as %)

Odds = <u>no. participants with event of interest</u> no. participants without event of interest



Do risks and odds differ much?

Two examples from caffeine trials

- 5 people with 'headache' out of 65
- Chance of having a headache

Risk: 5/65 = 0.077

Odds: 5/60 = 0.083

Rare event

Common event

- 130 people 'still awake' out of 165
- Chance of still being awake

Risk: 130/165 = 0.79 Odds: 130/35 = 3.71



Comparing two groups: the 2x2 table

	Event	No event	Total
Intervention	а	b	a + b
Control	С	d	c + d
Total	a + c	d + b	a+b+c+d



Comparing two groups: the 2x2 table

	Event:	No event:	Total
	Headache	No headache	Totat
Intervention: Caffeine	17	51	68
Control: Decaf	9	55	64
Total	26	106	132



Comparing two groups: effect measures

- Effect measures for dichotomous outcomes
 - Risk Ratio (RR) (relative risk)
 - Odds Ratio (OR)
 - Risk Difference (RD) (absolute risk reduction)
- All estimates are uncertain, and should be presented with a confidence interval



Risk ratio

- Formula: Risk ratio = $\frac{\text{Intervention risk}}{\text{Control risk}}$
- Risk of event with intervention: 17/68
- Risk of event with control: 9/64

	Headache	No headache	Total
Intervention: Caffeine	17	51	68
Control: Decaf	9	55	64
Total	26	106	132

Risk ratio =
$$\frac{17/68}{9/64} = \frac{0.25}{0.14} = 1.79$$



Risk ratio interpretation

- **RR = 1:** there is no difference between the groups
- **RR > 1:** increased risk in the intervention group
 - For our calculated RR of 1.79, this means that the intervention increased the risk of headache by 79% [100*(RR-1)%]
 - Or the risk of having a headache in the intervention group was 179% of the risk in the control group
- **RR < 1:** decreased risk in the intervention group
 - For example, an RR of 0.79 means that the intervention reduced the risk of headache by 21% [100*(1-RR)%]
 - Or the risk of having a headache with treatment was 79% of the risk in the control group



Odds ratio

• Formula: Odds ratio = $\frac{\text{Intervention odds}}{\text{Control odds}}$

Odds ratio =

- Odds of event with intervention: 17/51
- Odds of event with control: 9/55

	Headache	No headache	Total
Intervention: Caffeine	17	51	68
Control: Decaf	9	55	64
Total	26	106	132
$\frac{17/51}{9/55} = \frac{0}{0}$	$\frac{33}{16} = 2.06$		



Odds ratio interpretation

- **OR = 1:** there is no difference between the groups
- **OR > 1:** increased odds in the intervention group
 - For our calculated OR of 2.06, this means that the intervention increased the odds of headache by 106% [100*(OR-1)%]
 - Or the intervention increased the odds of headache to 206% of the odds in the control group
- **OR < 1:** decreased odds in the intervention group
 - For example, an OR of 0.06 means that the intervention reduced the odds of headache by 94% [100*(1-OR)%]
 - Or the intervention reduced the odds of headache to 6% of the odds in the control group



Risk difference

- Formula: Risk difference = Risk with intervention κ Risk with control
- Risk of event with intervention: 17/68
- Risk of event with control: 9/64

	Headache	No headache	Total
Intervention:	17	51	68
Caffeine	17	51	00
Control:	9	55	64
Decaf	5	55	04
Total	26	106	132

Risk dis erence = 17/68 κ 9/64 = 0.25 κ 0.14 = 0.11



Risk difference interpretation

- **RD = 0:** there is no difference between the groups
- **RD** > 0: increased (absolute) risk in the intervention group
 - For our calculated RD of 0.11, this means that the intervention increased the risk of headache by 11 percentage points
 - Or 14 out of 100 people experienced a headache in the control group. 11 more people experienced a headache in the intervention group
- **RD < 0:** decreased (absolute) risk in the intervention group
 - For example, an RD of -0.11 means that the intervention reduced the risk of headache by 11 percentage points
 - Or 14 out of 100 people experienced a headache in the control group. 11 fewer people experienced a headache in the intervention group



Choosing an effect measure

Communication of effect

- OR: hard to understand, often misinterpreted
- **RR:** easier, but relative (can mean a very big or very small change)
- RD: often easiest (absolute terms; easily converted to natural frequencies or NNT)

Consistency of effect

- OR and RR: less variable across different populations and often more stable across studies with different baseline risks
- RD: can vary more with baseline risk

Source: Deeks JJ. Issues in the selection of a summary statistic for meta-analysis of clinical trials with binary outcomes. *Statistics in Medicine* 2002; 21:1575-1600



Choosing an effect measure

Mathematical properties

- RR: results can be very different depending on how you defined the event of interest (e.g. good or bad, presence of absence)
- OR and RD: result direction often consistent regardless of the definition of the event
- **OR:** mathematically convenient since it is unbounded

Your Cochrane Group may have a policy



Choosing an effect measure: summary

	OR	RR	RD
Communication	×	\checkmark	\checkmark
Consistency	\checkmark	\checkmark	*
Mathematics	\checkmark	×	×



Collecting dichotomous outcome data

• Four numbers needed for effect measure and variance:

	Headache	No headache	Total	
Caffeine	17	51	68	
Decaf	9	55	64	
Total	26	106	132	
	Try to collect the actual number measured for each outcome, at each time point			



Other data formats can also be used

Percentages

- Number of events can be calculated if sample size is known

Overall effect estimate

- Where results for each group is not reported separately
- Can include in meta-analysis using generic inverse variance method
- Need a measure of variance (e.g. SE, 95% CI)



Part 2: Continuous outcomes



See Chapters 6 & 10 of the Handbook





What are continuous outcomes?

- Definition: measured on a scale
 - Can take any value in a specified range
 - Intervals between values are equally spaced
- **Note:** many scales are technically *ordinal* but often treated as continuous in analyses



Expressing continuous outcomes

- Two components
 - Mean value
 - Measure of variation

Irritability score	Mean	SD	Ν	
Caffeine	20	9.1	65	
Decaf	33	8.6	67	



Standard deviations





Standard deviations





Standard deviations





Comparing two groups

- Effect measures for continuous outcomes
 - Mean difference (MD) (difference of means)
 - Standardised mean difference (SMD)
- All estimates are uncertain, and should be presented with a confidence interval



Mean difference

- When all studies used the same measurement scale
- **Formula:** Mean difference = Mean of intervention **κ** Mean of control

Irritability score	Mean	SD	Ν
Caffeine	20	9.1	65
Decaf	33	8.6	67

Mean dis erence = $20 \times 33 = -13$



Mean difference interpretation

- MD = 0: no difference in means
- MD < 0: intervention group mean is lower
 - For our calculated MD of -13, this means that on average, participants with the intervention scored 13 points lower on the irritability scale

• MD > 0: intervention group mean is higher

- For example, an MD of 13 means that on average, participants with the intervention scored 13 points higher on the irritability scale



Interpreting mean difference

• **Context is key:** How should we interpret a score of -13?

• Depends on:

- Direction of the scale
- Length of the scale
- Minimally important difference
- Good or bad outcome

higher = more irritable 0 - 50 5 bad





Standardised mean difference

- When different scales were used to measure the same outcome
- SMD standardises the results by expressing the difference in standard deviation units
- Formula: $SMD = \frac{Mean of intervention \kappa Mean of control}{Pooled standard deviation of both groups}$
- **Caution:** assumes scales measure the same underlying concept



Calculating and interpreting SMD

Irritability score	Mean	SD	Ν	MD	SMD	-
Caffeine	20	9.1	65		4.5	-
Decaf	33	8.6	67	-13	-1.5	

• **Calculation:** RevMan will run this calculation for you

Interpretation:

- SMD = -1.5 means that on average, irritability was 1.5 standard deviations lower in the intervention group.
- Interpretation depends on same factors as mean difference
- Compare to available SDs
- Difficult for readers to interpret convert results to a specific scale for reporting



Converting SMD: example

Review: Manual therapy and exercise for adhesive capsulitis (frozen shoulder) Comparison: 1 Manual therapy plus exercise plus electrotherapy plus placebo injection versus glucocorticoid injection Outcome: 1 Overall pain

Study or subgroup	MT+Ex+Electro+Saline Inj Glu N Mean(SD)	cocorticoid injection N Mean(SD)	Std. Mean Difference IV,Random,95% CI	Weight	Std. Mean Difference IV,Random,95% Cl
1 Change from baseline	to 6 weeks				
Carette 2003	26-21.8 (27.0248)	23 -39.1 (26.8567)		51.5 %	0.63 [0.06, 1.21]
Ryans 2005	20 -17.6 (39.1)	17 -9.7 (18.5)		48.5 %	-0.25 [-0.90, 0.40]
Subtotal (95% Cl) Heterogeneity: Tau ² = 0 Test for overall effect: Z	46 .29; Chi ² = 3.93, df = 1 (P = 0.05) = 0.47 (P = 0.64)	40 ;; l ² =75%	-	100.0 %	0.21 [-0.65, 1.07]

• Pain measured using: SPADI pain scale in Carette 2003 and VAS rest pain in Ryans 2005

Convert SMD to SPADI scale:

- Multiply SMD and 95% CI by pooled baseline SD (18) from Carette 2003:

 $MD = (SMD \times pooled baseline SD) = (0.21 \times 18) = 3.78$

- Report result:

"SMD 0.21, 95% CI -0.65 to 1.07; this is equivalent to a MD of 3.78 points (-11.7 to 19.26) on a 100-point scale (SPADI)"

Source: Page MJ et al. Manual therapy and exercise for adhesive capsulitis (frozen shoulder). Cochrane Database of Systematic Reviews 2014;8:CD011275.



Normally distributed data





Skewed data

Indications of skew

- Reported as geometric mean or median plus interquartile range
- Large SD in relation to the mean
 - (Mean Minimum)/SD: < 2 indicates skewed data
 - (Maximum Mean)/SD: < 2 indicates skewed data

Addressing skew

- Get statistical advice before proceeding
 - o May be no action required
 - Possible actions may include sensitivity analysis without skewed studies, log transformation or other methods



Collecting continuous outcome data

• Six numbers needed for meta-analysis

	Mean	SD	Ν	
Intervention	20	9.1	65	
Control	33	8.6	67	

Try to collect the actual number measured for each outcome, at each time point



Post-intervention vs change from baseline

Control group





Post-intervention vs change from baseline

- Can you combine studies using both in one meta-analysis?
 - For MD: Yes, since both estimate the same intervention effect
 - For SMD: No, since SDs differ systematically between post-intervention and change from baseline scores
- ANCOVA (adjusting for baseline) is statistically preferred if reported
- Work with what is reported in your included studies:
 - Either post-intervention or change scores can be used
 - Can use a mixture (only for MD, not SMD)
 - Better to be consistent if possible
 - Avoid selective outcome reporting
 - Change scores require SD of the change



Other data formats can also be used

Statistics other than mean and SD

- e.g. standard error, confidence interval, P value, t value, median, interquartile range
- Clarify with the author if unclear
- Can often calculate or estimate the mean and SD

Overall effect estimate

- e.g. MD, ANCOVA, ratio of means, ratio of geometric means
- Can include in meta-analysis using generic inverse variance method
- Need a measure of variance (e.g. SE, 95% CI)



See Section 6.5.2 of the Handbook



What to include in your protocol

Specify effect measures to be used for dichotomous and continuous outcome data

For continuous outcome data, specify:

- Plans for handling different scales
- Whether you have a preference to use post-intervention or change scores
- Plans for converting statistics to the required formats
- Minimally Important Differences if possible



Take home message: dichotomous

- Risks and odds are two ways of expressing how likely an event is
- Risk ratio, odds ratio and risk difference compare chance between two groups
- To enter dichotomous data, you need the number of events and the total number in each group



Take home message: continuous

- Mean difference and standardised mean difference compare continuous measures between two groups
- For basic analysis of continuous data, you need the mean and
 SD and the number of participants in each group
- Both change and post-intervention data can be combined in your analysis
- The required statistics can often be calculated from the reported data



References

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- Deeks JJ, Higgins JPT, Altman DG (editors). Chapter 10: Analysing data and undertaking metaanalyses. In: Higgins JPT, Thomas J, Chandler J, Cumpston M, Li T, Page MJ, Welch VA (editors). Cochrane Handbook for Systematic Reviews of Interventions version 6.1 (updated September 2020). Cochrane, 2020. Available from <u>www.training.cochrane.org/handbook</u>.

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